

CERTIFICATE OF MAILING 37 C.F.R 1.8

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January 6, 2000

Date

David W. Hibler

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Barry J. Byrne, James E. Conway,

Gary S. Hayward, Nicholas Muzyczka and

Sergei Zolotukhin

Serial No.: 09/404,448

Filed: September 22, 1999

For: METHODS FOR LARGE-SCALE

PRODUCTION OF RECOMBINANT

AAV VECTORS

Group Art Unit: 1646

Examiner: Unknown

Atty. Dkt. No.: 4300.012100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not

to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R.

§§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant

Commissioner is hereby authorized to deduct said fees from Williams, Morgan & Amerson

Deposit Account No. 50-0786/4300.012100/HIB.

Applicants respectfully request that the listed documents be made of record in the present

case.

Respectfully submitted,

David W. Thesen

David W. Hibler

Reg. No. 41,071

Agent for Applicants

ARNOLD, WHITE & DURKEE P.O. Box 4433
Houston, Texas 77210-4433

(512) 418-3000

Date:

January 6, 2000

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